### Invention and Historical Development Efforts of Pinned Buried Photodiode

Yoshiaki Hagiwara

**AIPLAB** 

Artificial Intelligent Partnar System (AIPS)

Atsugi-city, Japan

http://www.aiplab.com

hagiwara-yoshiaki@aiplab.com



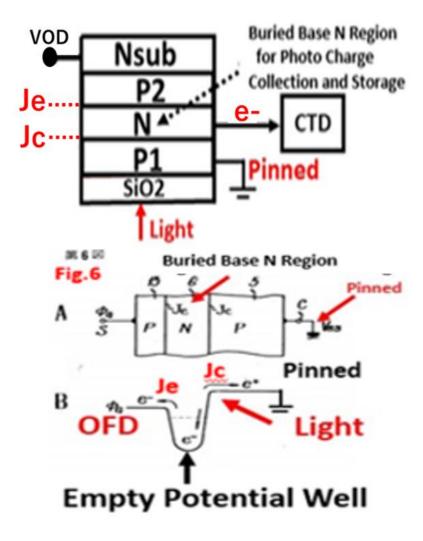


Fig 7 The patent claims in Japanese and an English translation with a reproduction of a figure drawn in Japanese patent application JPA1975-134985 which defined an PNP double junction dynamic photo transistor type Pinned Buried Photodiode with in-pixel overflow draining (VOD) capability.

Abstract— This paper reviews the invention of Pinned Buried Photodiode in 1975 by Yoshiaki Hagiwara at Sony which is also called as Hole Accumulation Device (HAD) which was originally defined in the form of the P+NPNsub triple junction type dynamic photo thyristor structure with the in-pixel vertical overflow drain (VOD) function to realize the electric shutter function capability. The evidence of the invention is explained with the three Japanese Patent Applications applied by Hagiwara at Sony in 1975. The first double junction type Pinned Photodiode was also developed by Hagiwara Team at Sony in 1978 and reported in the Japanese domestic SSDM1978 conference in Tokyo. The three original Japanese Patent Applications, filed by Hagiwara in 1975, were the evidence of the invention, however, being written only in Japanese and unfortunately never having been applied in USP and other oversea patents. The details are now reviewed and disclosed here with the English translation of the original Japanese Patent Applications for the first time in the IEEE English speaking community. The triple junction type Pinned Buried Photodiode has inherently the image-lag-free feature, the in-pixel VOD function and the electric shutter function capability, that have completely replaced film media and mechanical parts from the modern high-definition solid-state digital cameras.

Keywords—PIN Diode, Buried Photodiode, Pinned Photodiode, Drift Field Bipolar Transistor, Dynamic Photo Transistor, In-pixel Vertical Overflow Drain, Electrical Shutter Function, Global Shutter Function, Pinned Surface Gaussian Doping Profile, Barrie Potential, Solar Cell, Quantum Efficiency,

#### . Introduction

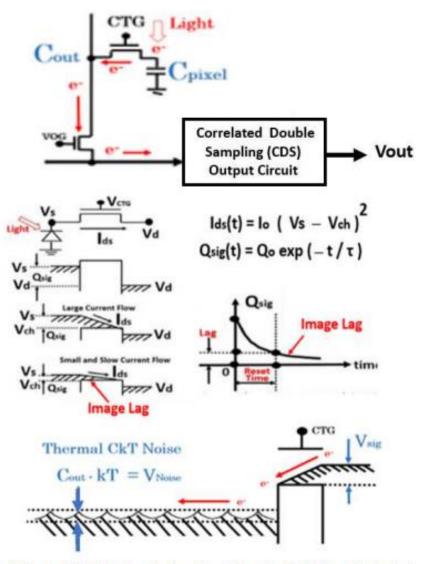


Fig. 1 Classical N+ Floating Surface Single Junction N+P Type Photodiode

Fig. 1 explains a conventional MOS image sensor in the ITIC read-out circuit configuration. A very small N+P single junction photodiode area capacitance with a floating surface N+ diffusion photo-charge storage region and a large output-dataline capacitance are the cause of the serious clock interference noise and the CKT thermal noise. The clock reset time is very short while the channel resistance R gets very large, causing a very large RC delay time and the serious image lag problem.

The CCD dynamic photo capacitor type solid state image sensor was invented in 1970 by Boyle and Smith in Bell Lab. The image sensors using the CCD type CTD were prevailing in 1980s and 1990s. Specially Buried Channel CCD type image sensors have an excellent charge transfer efficiency of about 99.999%, which was good enough in the analog TV era. The CCD image sensors have no image lag problem and very suited for the snapshot and fast action pictures in the analog TV era.

#### . Introduction





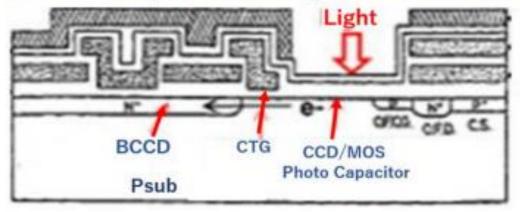


Fig. 2 SONY Two-chip CCD Color Camera XC-1 used in 747 Jumbo, with fast-action movie-pictures of landing and lifting-off with no image lag problem.

However, presently the high definition digital CMOS image sensors have replaced the CCD image sensors completely. The reason was very clear. The CCD/MOS type dynamic photo capacitors are formed with the metal-like polysilicon electrodes which do not let the short-wave blue light pass thru. Historically, Sony once used in early 1980s the thin polysilicon electrode for the CCD/MOS dynamic photo capacitors with the CCD type charge transfer device (CTD) [1]. See Fig. 2. Sony in 1980 commercialized the all-CCD type video cameras with the completely free-image-lag feature for fast-action and snapshot digital still pictures. However, the surface electric field under the CCD/MOS electrode induced the serious surface dark current and generated many white defects, causing serious chip-yield problems. Hagiwara proposed in 1975 a triple junction type Photodiode with the vertical overflow drain (VOD). See Fig. 3.

 Y. Kanoh, T. Ando, H. Matsumoto, Y. Hagiwara and T. Hashimoto, "Interline Transfer CCD Image Sensor", Technical Journal of Television Society, ED 481, pp. 47-52, Jan 24, 1980.

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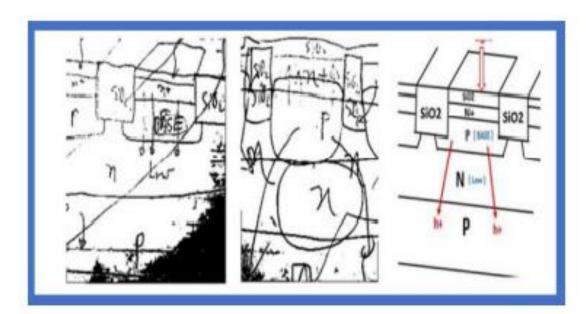


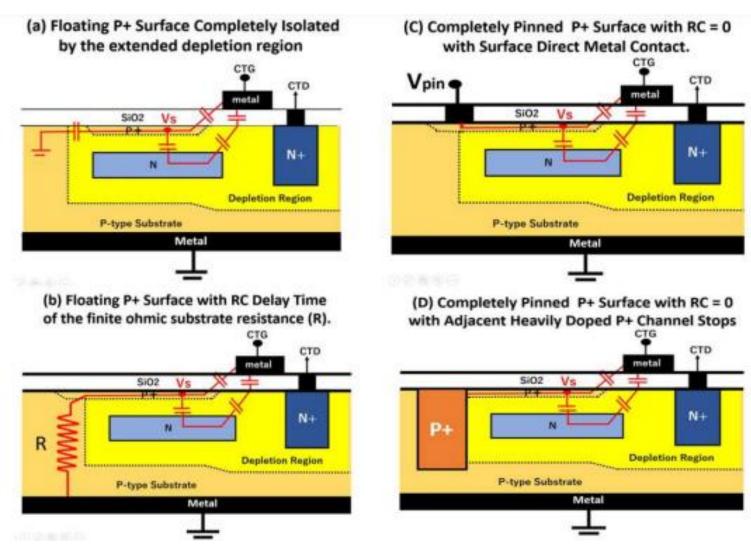
Fig. 3 Hagiwara March 1975 Lab Note, sketching N+PNP triple junction type Dynamic Photo Thyristor type Pinned Buried Photodiode with the vertical overflow drain (VOD) function with the electrical Shutter function capability.

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For the high-definition (HD) picture size of 8000H x 6000H pixels and more in our digital TV era, we would need to have at least 8000+6000=24000 charge transfers if the CCD type charge transfer device (CTD) was used. Since 0.001% times 24000 gives 24%, the significant percentage of the signal charge would be lost, and pictures would be buried in the noise. Therefore now, the CCD type charge transfer device (CTD) was replaced completely by the low-power digital-CMOS type CTD for modern digital high definition cameras in our digital HD TV era.

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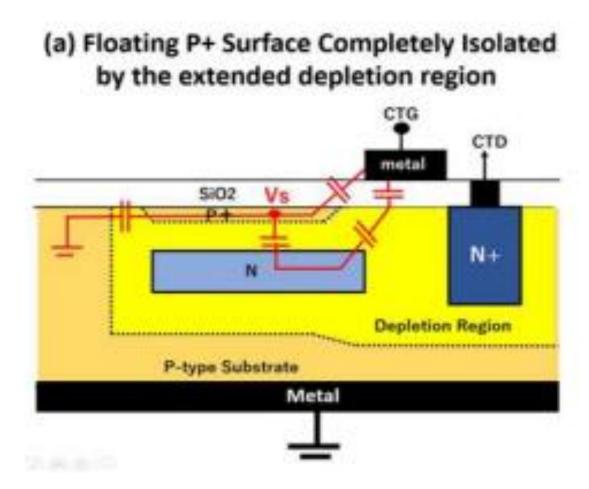
### II. DIFFERENCE BETWEEN FLOATING SURFACE AND PINNED SURFACE BURIED PHOTODIODES



The non-zero RC time delay was always a serious problem in 1950s for high frequency device operations, especially for the collector and the emitter non-zero on-resistance problems for high performance power bipolar transistors. The collector and the emitter terminal of a PNP power bipolar transistor had to be pinned to the external voltage with the zero on-resistance to achieve the zero RC time delay for high frequency operations. The concept of Pinned Surface Device was already well understood in early 1950s. When Hagiwara at Sony invented Pinned Buried Photodiode in 1975, the concept of the buried base storage region was also well understood. See Fig.4. There are two types of Buried Photodiode. NEC type [2] is shown in Fig. 4a while Philips type [3] is shown in Fig. 4b. They are Buried Photodiodes with a floating surface and a floating empty potential well with the serious image lag. The other two are Buried Photodiodes with a pinned surface and a pinned empty potential well. Hinted by Pinned Surface Devices produced by Sony bipolar IC technology, Hagiwara invented Pinned Buried Photodiode [4] as shown in Fig. 4c. Hagiwara Team developed in 1978 Pinned Buried Photodiode [5] as shown in Fig. 4d.

Fig.4 Difference of Buried Photodiode and Pinned Photodiode

# II. DIFFERENCE BETWEEN FLOATING SURFACE AND PINNED SURFACE BURIED PHOTODIODES



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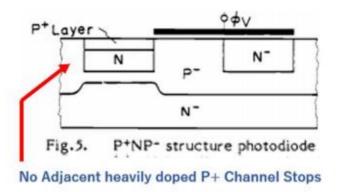


Fig. 9 NEC P+NP-N- Buried Photodiode reported in IEDM1982

Fig.4 Difference of Buried Photodiode and Pinned Photodiode

 N. Teranishi, Y. Ishihara and H. Shiraki, JPA1980-138026 on Flaoting Surface Buried Photodiode with Floating Empty Potential Well

#### IV. COMMON MISUNDERSTANDING ON BUIRED PHOTODIODES

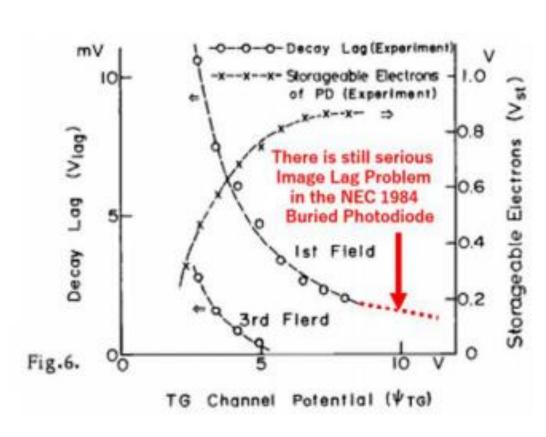


Fig. 10 NEC Buried P+NP-N- Photodiode reported in IEDM1982

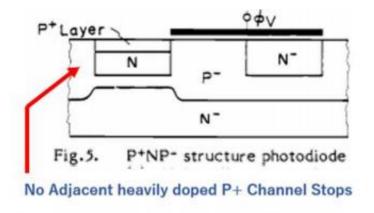


Fig. 9 NEC P+NP-N- Buried Photodiode reported in IEDM1982

.NEC explained in details that the buried photodiode can be completely depleted of the photo signal charge resulting the empty potential well and the no-image-lag feature. NEC IEDM1982 paper looked very fresh to these people who were still using the floating surface N+P single junction photodiode for their image sensors. The problem is that NEC IEDM1982 paper neglected the important necessary condition of Pinning the P+ Surface Hole Accumulation region. No matter how heavily the surface P+ region is doped, it becomes floating with the finite RC delay time constant unless the P+ Surface Hole Accumulation region is electrically pinned by a direct metal contact or by an adjacent deep and heavily doped P+ channel stops region. The NEC IEDM1982 paper reported the serious image lag problems. The reason was very clear.

#### IV. COMMON MISUNDERSTANDING ON BUIRED PHOTODIODES

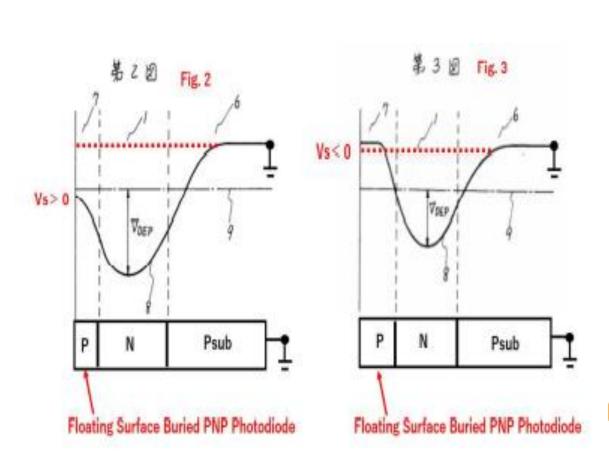


Fig. 11 NEC Patent (JPA1980-138026) on Floating Surface Photodiode

The NEC IEDM1982 paper is based on the NEC Japanese Patent Application JPA1980-138026 [2] filed in 1980 which shows a floating surface potential profiles of the double junction type Buried Photodiode. Since the surface is not pinned, the empty potential becomes floating and the complete charge transfer is not possible with the image lag problem. See Fig. 11. This is the evidence NEC photodiode was NOT Pinned Photodiode. NEC IEDM1982 reports the serious image lag problem as shown in Fig. 9 while the no-image-lag problem was already solved by 1975 in Sony, but Sony kept silent and focused for mass- production and yield-enhancement efforts. In 1984 two years later KODAK reported in IEDM1984 the pinned surface P+ photodiode and named it Pinned Photodiode [13]. See Fig.12. KODAK became the second company who recognized the importance of the pinned surface device.

- N. Teranishi, Y. Ishihara and H. Shiraki, JPA1980-138026 on Flaoting Surface Buried Photodiode with Floating Empty Potential Well
- [13] B. C. Burkey, W. C. Chang, J. Littlehale, T. H. Lee, T. J. Tredwell, J. P. Lavine, E. A. Trbk, "The Pinned Photodiode for an Interline-transfer CCD Image Sensor", IEDM1984, Dig. of Tech. Papers, paper (2.3), (1984).

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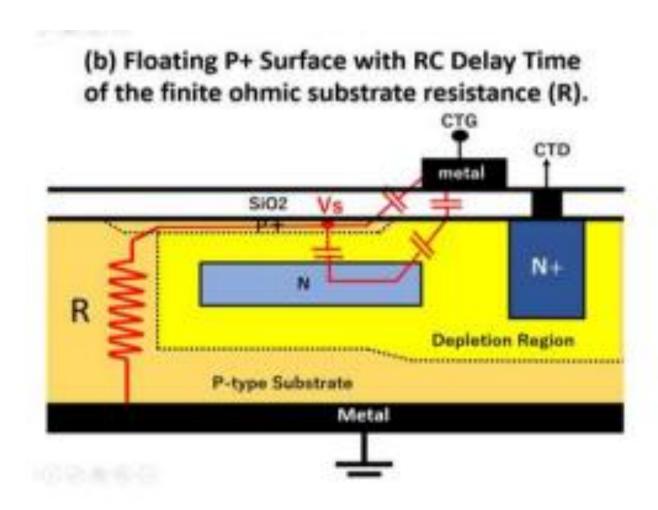
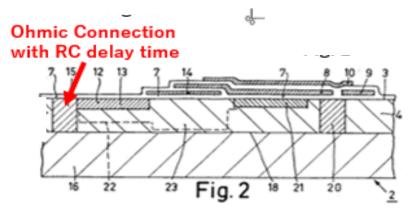


Fig.4 Difference of Buried Photodiode and Pinned Photodiode

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[3] JPA1976-65707 (Patent No. 7596795, filed on June 9, 1975, Netherland) on Buried Photodiode with Floating Empty Potential Well.

### I. DIFFERENCE BETWEEN FLOATING SURFACE AND PINNED SURFACE BURIED PHOTODIODES

The other two are Buried Photodiodes with a pinned surface and a pinned empty potential well. Hinted by Pinned Surface Devices produced by Sony bipolar IC technology, Hagiwara invented Pinned Buried Photodiode [4] as shown in Fig. 4c. Hagiwara Team developed

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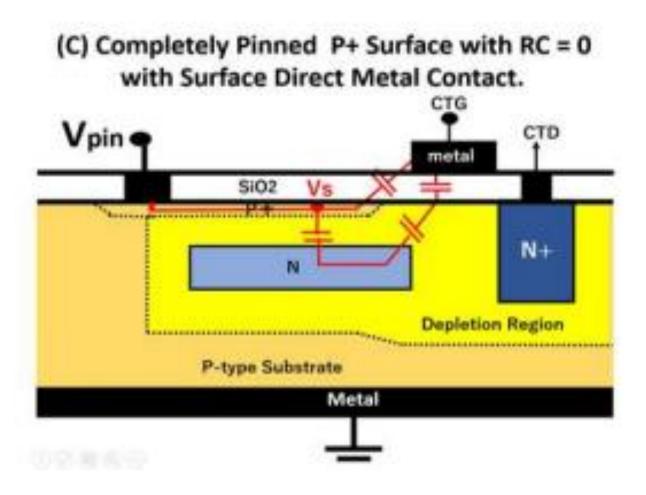


Fig.4 Difference of Buried Photodiode and Pinned Photodiode

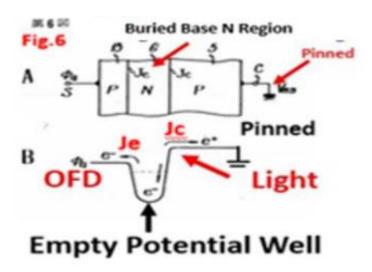


Fig 7 The patent claims in Japanese and an English translation with a reproduction of a figure drawn in Japanese patent application JPA1975-134985 which defined an PNP double junction dynamic photo transistor type Pinned Buried Photodiode with in-pixel overflow draining (VOD) capability.

- [4] http://www.aiplab.com/JPA 1975 134985 on PPD with VOD.html
- [5] Y. Hagiwara, Motoaki Abe and Chikara Okada, "A 380H X 488V CCD Imager with Narrow Channel Transfer Gates", Proceeding of the 10th Conference on Solid StateDevices, Tokyo 1978.

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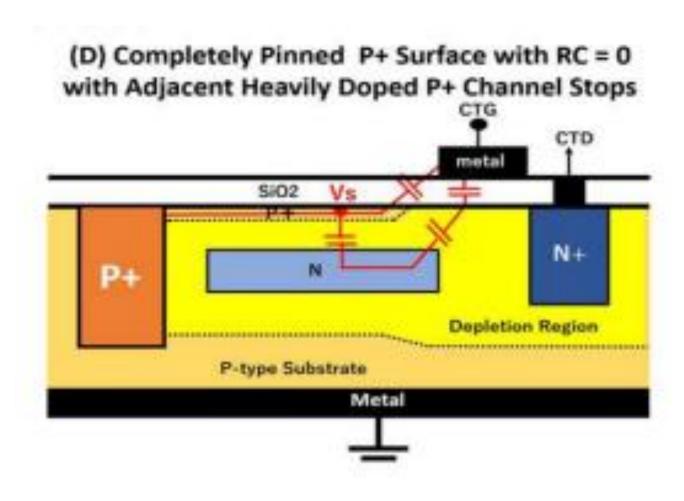


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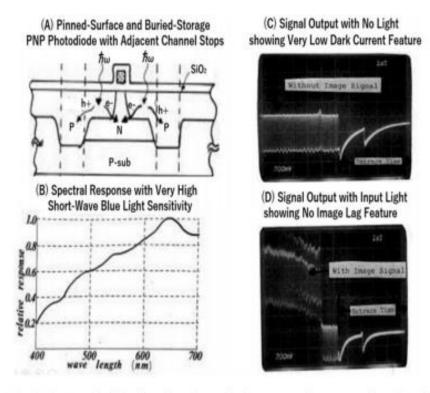


Fig 8 The PNP double junction dynamic photo transistor type Pinned Buried Photodiode reported at SSDM1978 in Tokyo. Sony was working secretly on the critical image-lag problem since 1975 and had no image lag problem completely by 1980 while other companies were suffering image lag problems with the N+P floating-surface single-junction type photo sensor with poor blue light sensitivity.

[5] Y. Hagiwara, Motoaki Abe and Chikara Okada,"A 380H X 488V CCD Imager with Narrow Channel Transfer Gates", Proceeding of the 10th Conference on Solid StateDevices, Tokyo 1978.

#### III. TRIPLE JUNCTION PINNED BURIED PHOTODIODE

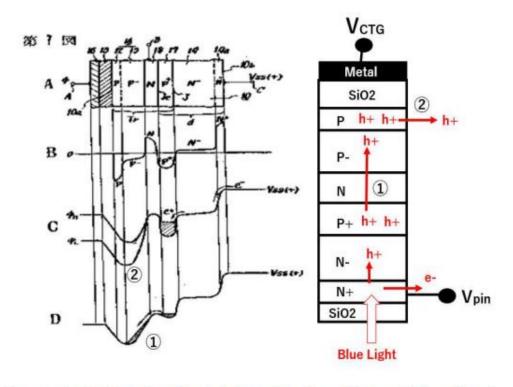


Fig. 5 The N+N-P+NP-N triple junction Pinned Photodiode which is a reproduction of a figure drawn in Japanese patent application JPA1975-127646.

Yoshiaki Hagiwara at Sony in 1975 applied Japanese patent on a triple junction type Pinned Buried Photodiode with the back light illumination scheme. Fig. 5 shows the N+N-P+NP-N triple junction Pinned Photodiode which is a reproduction of a figure drawn in Japanese patent application JPA1975-127646. The English translation is also given blow [8].

"An array of charge transfer gates is formed on the oxide layer of a semiconductor substrate (Nsub). The first charge transfer region (P1) is formed under the oxide layer. There is a base gating region (N) between the first region (P1) and the second photo charge collecting region (P2) which is formed in the substrate (Nsub). By proper gating clocks (1), the photo charge is drained to the surface region (P1) from the second region (P2) and subsequently transferred along the semiconductor surface by another proper clocks (2)."

#### III. Triple Junction Pinned Buried Photodiode

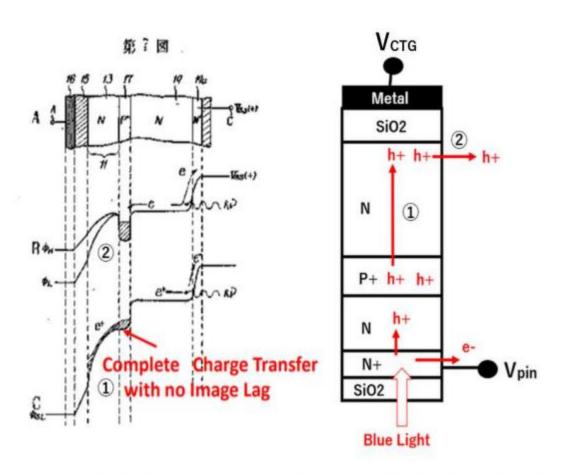


Fig. 6 The N+N-P+N double junction Pinned Photodiode which is a reproduction of a figure drawn in Japanese patent application JPA1975-127647.

During the photo signal integration time, the output read-out vertical data lines becomes idle. And the excess photo signal charge (holes) is drained out to the output idle read-out data line from the P+ buried charge storage region thru the N base gating region by a strongly attractive voltage on the surface MOS gate, which is also serving as the temporary MOS type dynamic capacitor buffer storage memory. Inherently this triple junction type photo sensor can also be used to drain out the excess photo signal charge by a proper surface MOS gate voltage clocking.

Fig.6 shows another Pinned Buried Photodiode defined in the Japanese patent claim of JPA1975-127647, this time on an N+N-P+N double junction type dynamic photo transistor structure type with Global Shutter Function capability and the electrical shutter capability using the same MOS/CCD type dynamic capacitor buffer storage memory. The English translation is given blow [9].

#### III. Triple Junction Pinned Buried Photodiode

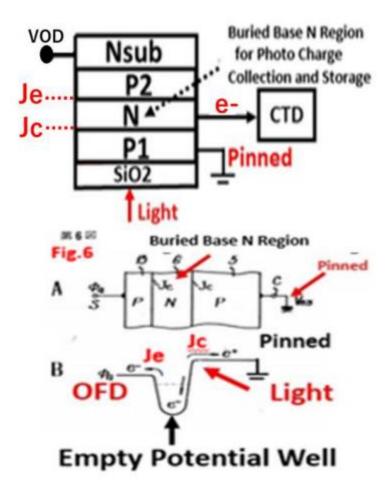


Fig 7 The patent claims in Japanese and an English translation with a reproduction of a figure drawn in Japanese patent application JPA1975-134985 which defined an PNP double junction dynamic photo transistor type Pinned Buried Photodiode with in-pixel overflow draining (VOD) capability.

.Fig.7 shows a reproduction of a PNP double junction type photodiode with an empty potential well of the buried N type storage region which is the result of complete charge transfer and draining action by the adjacent charge transfer device (CTD). Japanese Patent Application JPA1975-134985 defines a PNP double junction dynamic photo transistor structure type Pinned Photodiode in a substrate wafer, consequently forming a P+NPNsub triple junction dynamic photo thyristor type Pinned Buried Photodiode with the VOD function and the electrical function capability. English translation is given blow [4].

"In the semiconductor substrate (Nsub), the first region (P1) of the first impurity type is formed, on which the second region (N) of the second impurity type is formed. The photo charge is stored in the second region (N) and is transferred to the adjacent charge transfer device (CTD). Both are placed along the main surface of the semiconductor substrate. A rectifying (P2/N) emitter junction (Je) is formed on the second region (N) while the (N/P1) collector junction (Jc) is formed by the first region (P1) and the second region (N), forming a (P2/N/P1) photo transistor structure in the substrate (Nsub).."

[4] http://www.aiplab.com/JPA\_1975\_134985\_on\_PPD\_with\_VOD.html

#### V. SONY DISCLOSED THE INVENTOR OF SONY HAD SENOSR.

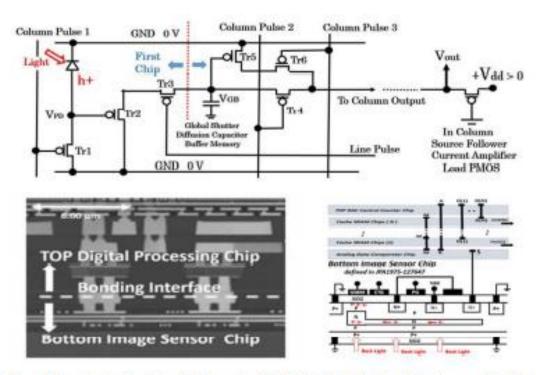


Fig. 13 Active In-Pixel Current AMP 1C6T Circuit (Hagiwara 2020) and Two-chip Stacked Back-Light CMOS Imager (Curtesy of Sony Corporation)

In 2020, Sony developed a multi-chip back-light CMOS image sensor based on Hagiwara's original 1975 ideas. Sony then for the first time in the public explained the facts on the original 1975 inventions by Hagiwara in details and the development efforts by Hagiwara Team in 1978 details. Sony official public WEB site [15] said, "In 1975, Sony invented a CCD image sensor that adopted a back-illuminated N+NP+N junction type and an N+NP+NP junction type Pinned Photodiode (PPD) (Japanese patent application number 1975-127646, 1975-127647 Yoshiaki Hagiwara). In the same year, inspired by such structure, Sony invented a PNP junction type PPD with VOD (vertical overflow drain) function (Japanese Patent No. 1215101 JPA1975-134985 applied by Yoshiaki Hagiwara on November 23, 1975)." See Fig. 13.

- [15] https://www.sony.com/en/SonyInfo/News/notice/20200626/
- [16] Taku Umebayashi, Hiroshi Takahashi, Japanese Patent No. 5773379 on the invention of the Cu-to-Cu direct contact technique.

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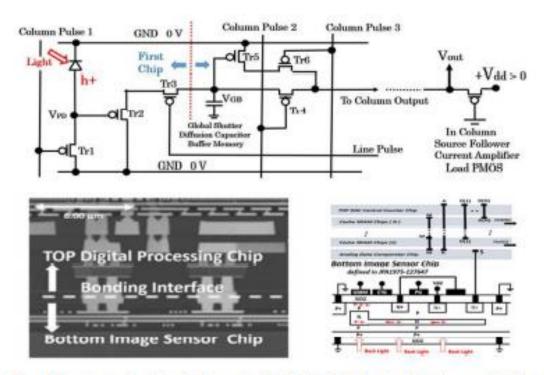


Fig. 13 Active In-Pixel Current AMP 1C6T Circuit (Hagiwara 2020) and Two-chip Stacked Back-Light CMOS Imager (Curtesy of Sony Corporation)

"After that, Sony succeeded in making a principle-prototype of a frame transfer CCD image sensor that adopted the PNP junction type PPD technology, having a high-impurity-channel stop region formed near a light receiving section by ion implantation technology for the first time in the world, and its technical paper was presented at the academic conference, SSDM 1978 (Y. Hagiwara, M. Abe, and C. Okada, "A 380H x 488V CCD imager with narrow channel transfer gates", Proc. The 10th Conference on Solid State Devices, Tokyo, (1978)). In 1980, Sony succeeded in making a camera integrated VTR which incorporated a one-chip frame transfer CCD image sensor that adopted the PNP junction type PPD. President Iwama in Tokyo, Chairperson Morita in New York, at the time held a press conference respectively on the same day, which surprised the world. In 1987, Sony succeeded in developing a 8 mm video camcorder that adopted, for the first time in the world, the interline transfer CCD image sensor, which incorporated "PPD having a high-impurity-concentration P+ channel stop region formed near the light receiving section by ion implantation technology" with VOD function, and became the pioneer of the video camera market. The PPD technology that has been nurtured through such a long history is still used in backilluminated CMOS image sensors [16]." See Fig. 13.

- [15] https://www.sony.com/en/SonyInfo/News/notice/20200626/
- [16] Taku Umebayashi, Hiroshi Takahashi, Japanese Patent No. 5773379 on the invention of the Cu-to-Cu direct contact technique.

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### VI. CONCLUSION

Secret patent disputes and attacks by KODAK, NEC and Fairchild against Sony, on the issues of Hagiwara 1975 invention of Pinned Buried Photodiode with the VOD function capability, lasted for more than 20 years since 1990. But SONY successfully protected Hagiwara 1975 patents. The US supreme court made a final decision favoring Sony over Fairchild [19]. And on June 26, 2020, Sony proudly disclosed that Hagiwara is the true inventor of Pinned Buried Photodiode with the VOD function [15]. Sony and KODAK chose to enjoy a friendly technical collaboration while NEC stopped the imager business.

#### V. SONY DISCLOSED THE INVENTOR OF SONY HAD SENOSR

### VI. CONCLUSION

Besides, this P+PNPP+ double junction type Pinned Buried Photodiode can also be used for a possible future solar cell application for a better quantum efficiency [20]. With the imagelag-free feature and the built-in Electric Shutter and Global Shutter function capabilities [21], the Pinned Buried Photodiode with the in-pixel VOD function and Electric Shutter capability [22] have now replaced film media and mechanical parts, realizing modern solid-state cameras with instant-snapshots and fast-action pictures in our HD digital TV era [23].

#### ACKNOWLEDGMENT

The author expresses sincere gratitude to Dr. Vojin Vgoklobdzija, Dr. Sei-Ichi Watanabe, Yoshiyuki Kawana, Toshio Kato, Dr. Tsugio Makimoto, Ki-ichiro Mukai, Terushi Shimizu, Yasuhiro Ueda, Dr. Naohisa Ohta, Dr. Tadakuni Narabu and many fellow engineers in Sony who helped me to prepare this paper thru many fruitful discussions. They are my dear friends and mentors in private and public life.

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AIPLAB

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# Thank you very much!